

FORM PTO-1390 (REV. 12-2004) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>MIN-05-1013</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/523448</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP03/10126</b>	INTERNATIONAL FILING DATE <b>08 August 2003 (08.08.03)</b>	PRIORITY DATE CLAIMED <b>13 August 2002 (13.08.02)</b>
TITLE OF INVENTION <b>NIOBIUM ALLOY POWDER, ANODE FOR USE IN SOLID ELECTROLYTIC CAPACITOR, AND SOLID ELECTROLYTIC CAPACITOR</b>		
APPLICANT(S) FOR DO/EO/US <b>Tadasu Kirihiara, Nobuyuki Sato, Osamu Ebato and Kan Saito</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>		

U.S. APPLICATION NO. (if known) See 37 CFR <b>107523448</b>	INTERNATIONAL APPLICATION NO. PCT/JP03/10126	ATTORNEY'S DOCKET NUMBER MIN-05-1013												
21. The following fees are submitted:														
<table border="1"> <tr> <td><input checked="" type="checkbox"/> a) Basic national fee.....</td> <td>\$ 300.00</td> <td>\$ 300.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination fee.....</td> <td>\$ 200.00</td> <td>\$ 200.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search fee.....</td> <td>\$ 500.00</td> <td>\$ 500.00</td> </tr> <tr> <td colspan="2"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$1,000.00</b></td> </tr> </table>			<input checked="" type="checkbox"/> a) Basic national fee.....	\$ 300.00	\$ 300.00	<input checked="" type="checkbox"/> b) Examination fee.....	\$ 200.00	\$ 200.00	<input checked="" type="checkbox"/> c) Search fee.....	\$ 500.00	\$ 500.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>
<input checked="" type="checkbox"/> a) Basic national fee.....	\$ 300.00	\$ 300.00												
<input checked="" type="checkbox"/> b) Examination fee.....	\$ 200.00	\$ 200.00												
<input checked="" type="checkbox"/> c) Search fee.....	\$ 500.00	\$ 500.00												
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>												
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.														
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)												
- 100 =	/50 =	x \$250.00												
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(c)).														
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE											
Total Claims	10 - 20 =	0	x \$50.00											
Independent claims	1 - 3 =	0	x \$200.00											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00											
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>\$1,000.00</b>											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$											
<b>SUBTOTAL</b>			<b>\$1,000.00</b>											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$											
<b>TOTAL NATIONAL FEE</b>			<b>\$1,000.00</b>											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$											
<b>TOTAL FEES ENCLOSED</b>			<b>\$1,000.00</b>											
			Amount to be refunded: \$											
			Amount to be charged: \$											
a. <input checked="" type="checkbox"/> A check in the amount of \$1,000.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 50-2719 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2719. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> information should not be included on this form. Provide credit card information and authorization on PTO-2038.														
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.														
SEND ALL CORRESPONDENCE TO:														
Customer No. 035811, whose contact information is: IP Group of DLA Piper Rudnick Gray Cary US LLP One Liberty Place, Suite 4900 1650 Market Street Philadelphia, PA 19103 (215) 656-3381														
 T. Daniel Christenbury, Reg. No. 31,750														

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Customer No.: 035811  
 Examiner :  
 Serial No. :  
 Filed : Herewith  
 PCT No. : PCT/JP03/10126  
 PCT Filed : August 8, 2003  
 Inventors : Tadasu Kirihsara Docket No.: MIN-05-1013  
               : Nobuyuki Sato  
               : Osamu Ebato  
               : Kan Saito  
 Title : NIOBIUM ALLOY POWDER, ANODE FOR  
           : USE IN SOLID ELECTROLYTIC  
           : CAPACITOR, AND SOLID  
           : ELECTROLYTIC CAPACITOR  
 Confirmation No.:

Dated: January 31, 2005

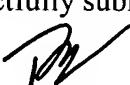
**APPLICATION TRANSMITTAL LETTER**

**MAIL STOP PCT**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

We submit herewith for filing in the U.S. Patent and Trademark Office the above-identified PCT Application as filed (13 pages), an English translation of the PCT Application as filed, including 10 pages of Specification, 2 pages of Claims, 1 page Abstract and 1 sheet of Drawings, an executed Verification of Translation, an Application Data Sheet (1 page), copies of the Request (5 pages), Forms PCT/IB/301, 304, 306, 308 and 359, WO 2004/016374 A1 and the International Search Report, an Information Disclosure Statement with Form PTO-1449, an executed Combined Declaration, Power of Attorney and Petition, Form PTO-1390, in duplicate, and our check in the amount of \$1,000.00, comprising the official filing fee.

Respectfully submitted,

  
 T. Daniel Christenbury  
 Reg. No. 31,750  
 Attorney for Applicants

10/523448

DT05 PCT/PTO 31 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Customer No.: 035811  
Examiner :  
Serial No. :  
Filed : Herewith  
PCT No. : PCT/JP03/10126  
PCT Filed : August 8, 2003  
Inventors : Tadasu Kirihara Docket No.: MIN-05-1013  
: Nobuyuki Sato  
: Osamu Ebato  
: Kan Saito  
Title : NIOBIUM ALLOY POWDER, ANODE FOR  
: USE IN SOLID ELECTROLYTIC  
: CAPACITOR, AND SOLID  
: ELECTROLYTIC CAPACITOR  
Confirmation No.:

Dated: January 31, 2005

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**37 CFR 1.10**  
**EXPRESS MAIL CERTIFICATION**

“Express Mail” mailing label number: EV 525095586 US

Date of Deposit: January 31, 2005

DESCRIPTION OF CONTENTS: Postcard, \$1,000.00 Check, Application Transmittal Letter, in duplicate, Form PTO-1390, in duplicate, the PCT Application as filed (13 pages), an English translation of the PCT Application as filed, including 10 pages of Specification, 2 pages of Claims, 1 page Abstract and 1 sheet of Drawings, an executed Verification of Translation, an Application Data Sheet (1 page), copies of Request (5 pages), Forms PCT/IB/301, 304, 306, 308 and 359, WO 2004/016374 A1 and the International Search Report, an Information Disclosure Statement with Form PTO-1449, and an executed Combined Declaration, Power of Attorney and Petition.

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Hood  
(Typed or printed name of person mailing paper or fee)

Leslie Hood  
(Signature of person mailing paper or fee)